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(54) Title: A METHOD FOR ANALYSING AND CONTROLLING A COOLING PROCESS <div style="text-align: center;"> </div>		
(57) Abstract <p>A method for determining the values of such refrigeration process parameters as those by means of which the efficiency of the process can be established. The refrigerating process is carried out with the aid of a refrigerant which is circulated through a closed circuit which incorporates a compressor, a condenser, an expansion device, and an evaporator. Mutually corresponding measurement values of the refrigerant pressure and temperature upstream and downstream of the compressor and downstream of the condenser are collected in a computer, it being assumed that the pressure of the medium downstream of the condenser is the same as that downstream of the compressor. These values are utilized to determine, with the aid of information stored in the computer defining the refrigerant diagram for the refrigerant used, three key points K1, K2 and K3 on the refrigerant diagram. Process parameters required for assessing the efficiency of the refrigerating process, such as vapourization temperature, condensing temperature, overheating, undercooling and the ideal coefficient of performance of the process are then determined on the basis of these key points, while using the information defining the refrigerant diagram stored in the computer. The true or prevailing coefficient of performance of the process is then calculated as the product of the ideal coefficient of performance and a correction factor which, inter alia, compensates for losses in the process.</p>		

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A method for analysing and controlling a cooling process.

5 The present invention relates to a method for determining the values of such cooling or refrigeration process parameters as those by means of which the efficiency of the process can be established, said process being carried out with the aid of a refrigerant, or coolant, which is circulated through a closed circuit which incorporates a compressor, a condenser, an expansion device, and an evaporator.

10 The term refrigeration process as used here shall be understood to include, in accordance with accepted practice, all types of compressor-driven evaporation or vapourization processes, irrespective of whether such processes are applied in heat pump systems, air conditioning systems, refrigerating systems or cooling systems, and irrespective of whether the apparatus used in conjunction therewith are stationary or mobile. The invention is described in the following primarily with reference to a heat pump cycle. It will be understood by all those of normal skill in this art, however, that when reference is made in the following description and claims to the coefficient of performance, heating power (heat emission) etc. analogous conditions and methods of calculation with regard to cooling efficiency factor, cooling power, etc. are to be found in the aforesaid cooling and refrigeration systems.

30 There are many instances when it is desirable to know the working efficiency of a heat pump system. Such is the case, for instance, when installing and finely adjusting or tuning heat pump systems, when carrying out functional checks, or when servicing such systems. The measuring methods most used today utilize a combination of flow and temperature measurements. This normally involves measuring the flow rate of the heat carrier

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and/or the cold carrier (brine) and the temperature difference across the condenser and evaporator respectively, so as to establish thereby the heat emitted or the cold absorbed, and comparing the measuring results with the amount of electrical energy consumed. This method, however, is encumbered with several serious drawbacks. Among other things the accuracy to which the working efficiency of a heat pump system can be determined by measuring the rate of flow of the heat carrier and the aforesaid temperature difference, when said difference is small, is very low.

The most serious drawbacks encountered with the aforesaid method are caused by the fact that in order to measure the flow rate of the circulating medium it is necessary to install flow meters in the various circuits concerned, which is both expensive and time consuming. Thus, in order to connect-up the flow meters it is necessary first to shut down and drain the system and then to cut the pipes in a manner which will enable the flow meters to be installed. The system must then be started up and allowed to run until substantially stable temperature conditions are reached. The measuring process will normally take a full working day to complete.

Because the rate of flow and temperature are not read-off simultaneously, it cannot be certain that the result obtained is accurate, since the measurement values read-off do not correspond exactly with one another. This further impairs the overall accuracy of the measuring result, since processes of this kind are normally relatively unstable with constantly varying parameters.

It can be said in summary that although the methods applied today may be suitable for determining yearly average values, or corresponding values, they are not suitable for measuring the efficiency of a system when tuning the system, inspecting the system, or carrying

out corresponding system procedures, since when applying the known method it is not possible to obtain continuously information that relates to the functioning of the system. Furthermore, the known method will only provide
5 data concerning the overall function of the system and will not provide detailed information relating to process data and the condition of the various system components. When tuning a system it is necessary, for instance, to obtain simultaneously information relating to a number
10 of parameters that are determinative of the coefficient of performance and the heating power, or heat emission, of the system, where, for instance, a certain control procedure can result in a higher heat emission with a lower coefficient of performance, and vice versa.

15 The main objective of the present invention is therefore to provide a method for measuring the values of refrigeration process parameters which can be carried out very rapidly and at low cost and which will provide continuous
20 documentation of essential process parameters.

Another objective of the invention is to enable such a method to be applied for the purpose of automatically regulating or controlling a refrigeration process.
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A further objective is to provide regulating apparatus for controlling a refrigeration or cooling process on the basis of process data obtained by the inventive measuring method.
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In order to achieve these objectives it is important to provide a measuring method which does not require the rate of flow to be measured. The present invention is based on the realization that this can be avoided and
35 the aforesaid objectives achieved when the information contained in the refrigerant diagram of the refrigerant used is utilized rationally. In this respect it suffices to determine at a given moment the pressure and tempera-

ture simultaneously at three points in the closed refrigerant cycle, and to use these measurement values to determine three key points on the refrigerant diagram. The values of essential basic parameters of the refrigeration process, such as evaporation temperature, condensation temperature, overheating, undercooling, and the ideal coefficient of process performance, can then be determined with a starting point from the aforesaid three key points on the diagram.

One of the problems instrumental in the failure of earlier methods of this kind was that when utilizing information obtained from a refrigerant diagram, it was considered necessary to obtain a value of the mass flow in order to establish the true, or prevailing, coefficient of process performance. Consequently, it has been considered hitherto necessary either to measure the rate of flow, which is an excessively expensive and highly complicated procedure, or to acquire sufficient compressor data to enable the mass flow to be calculated, which has not been found possible in practice.

The problem of determining the mass flow can be resolved in accordance with the invention by calculating or empirically determining instead a correction factor which, inter alia, compensates for process losses, whereafter the true coefficient of performance can be calculated as the product of the ideal coefficient of performance and the aforesaid correction factor, without using the mass flow.

The most important losses can be referred to thermal losses in the compressor, and practical tests have surprisingly shown that the true or prevailing coefficient of performance can be determined very accurately by using as said correction factor, with which the ideal coefficient of performance is multiplied, a calculated or empirical value of the thermal efficiency η_T of the

compressor. The thermal efficiency η_T of the compressor is therewith defined as the relationship between the total energy input to the refrigerant from the compressor and the total driving energy supplied to the compressor, normally electrical energy.

In this regard, test runs have shown that in the case of conventional systems which include hermetical or semi-hermetical compressors a satisfactorily accurate result can be obtained when using a value within the range of about 90% to about 97% as an empirical value of η_T . A lower value applies in the case of open compressors. Thus, the invention enables expensive and time consuming mass flow calculations to be avoided and, in the majority of cases in conjunction with the calculation of the true coefficient of performance, to be replaced with the use of a pre-determined correction factor.

Subsequent to calculating the prevailing or true coefficient of performance, the heating power generated by the process can be calculated, in accordance with the invention, as the product of the coefficient of performance and the drive energy supplied to the compressor.

Other characteristic features of the method according to the invention are set forth in the following Claims.

A refrigeration or cooling process can be automatically controlled with extreme accuracy when applying the aforescribed method, wherewith the adjustable expansion device used to steer the process can be regulated, inter alia, on the basis of the coefficient of performance and/or heat emission calculated in accordance with the foregoing.

The inventive method can also be used as a basis for the construction of control apparatus for controlling a refrigeration process, said apparatus being characterized

in that it includes means for calculating the true coefficient of performance of the process and/or the heating power generated by the process, in accordance with the foregoing, and in that process control means
5 are actuated thereby. The invention will now be described in more detail with reference to the accompanying drawings, in which

Figure 1 is a principle diagram of a single stage refrigeration process;
10

Figure 2 illustrates a refrigerant diagram of a refrigerant, chosen by way of example, incorporating a refrigeration process characteristic;

Figure 3 is a block schematic of apparatus used when
15 carrying out the method according to the invention.

The compressor-driven refrigeration process illustrated in Figure 1, which process is assumed here to be applied in a heat pump, represents an evaporation process which
20 passes cyclicly through four stages. The process requires a refrigerant, or coolant, which is circulated around a closed circuit incorporating a compressor 1, a condenser 2, an expansion valve 3, and an evaporator 4. Ammonia and chloro-fluoro-hydrocarbons (FREON) are those refri-
25 gerants most used today. The physical properties of these refrigerants are relatively well known and are presented in the literature in both diagram form and in the form of mathematical formulæ. Since it is normally the energy differences which are of interest, the refri-
30 gerants are most often presented in so-called i log p diagrams, where i stands for enthalpy and p stands for pressure. These diagrams are designated refrigerant diagrams, and an example of one such diagram is given in Figure 2.

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The process illustrated in Figure 1 can be divided into the following four stages:

- 1) Refrigerant in gas phase and at low pressure is drawn by suction into the compressor 1 and is compressed

therein. Gas under high pressure has a high boiling point.

- 5 2) The gaseous refrigerant of high pressure flows into
the condenser 2, in which the refrigerant is cooled
by a heat carrier which flows through the conduit 5
and which absorbs heat from the refrigerant. Cooling
of the refrigerant continues to a temperature beneath
10 the boiling point of the refrigerant, thereby conden-
sing the vapour.
- 15 3) The condensed refrigerant flows to the expansion valve
3, in which the refrigerant is expanded so that the
pressure falls. The boiling point of the refrigerant is
low at this low pressure.
- 20 4) The low pressure liquid refrigerant is lead into the
evaporator 4, in which the refrigerant is heated and
vapourized by a cold carrier flowing in through the con-
duit 6, whereafter the gaseous refrigerant is again drawn in-
to the compressor 1 and the cycle is repeated.

25 Thus, the principle of a heat pump system is to transfer
heat from a cold carrier in the conduit 6, which may have
a relatively low temperature, to a heat carrier in the
conduit 5, which has a relatively high temperature. The
cold carrier and heat carrier may comprise any desired
medium, and are most often water or air.

30 In order to be able to assess the efficiency of the
refrigerating process, and also the condition of the
apparatus components, pressure and temperature measure-
ment are made simultaneously on the refrigerant, in
accordance with the present invention, in order to deter-
35 mine the three key points on the refrigerant diagram for
the refrigerant used, which points define the course
taken by the refrigerating process. The values of other
parameters required to carry out the method can then be

determined on the basis of these three key points.

5 The pressure and temperature are primarily measured at locations upstream and downstream of the compressor and downstream of the condenser respectively, it being assumed that the pressure downstream of the condenser is the same as the pressure downstream of the compressor. The pressure sensors are mounted on the service outlets normally provided on the respective high pressure and low pressure side of the components, while the temperature sensors are mounted on the connecting pipes.

15 By simultaneously measuring pressure and temperature at the aforesaid positions in the refrigerant cycle, a clear and precise determination is obtained of the points K1, K2 and K3 shown in the refrigerant diagram in Figure 2, in the aforesaid order. These points can either be determined directly in the diagram, or with the aid of mathematical calculations.

20 However, in order to achieve the results desired in accordance with the invention, it is necessary to utilize a computer controlled data logger, for simultaneously reading and collecting the aforesaid measurement values, and the key points K1, K2 and K3 must be determined in the computer by comparing the measurement values collected therein with information defining the refrigerant diagram stored in the computer. In this regard, the computer may either be programmed with the diagram as such, e.g. in the form of tabulated values, or more suitably with underlying or basic mathematical functions, which affords greater accuracy. The invention, however, is not contingent upon the manner in which the information defining the diagram is stored in the computer, and hence this aspect will not be described in detail here.

35 Apparatus for carrying out the method according to the present invention is illustrated schematically in Figure 3, where the reference numeral 7 designates a data logger

having eight channels, the reference numeral 8 designates a computer which controls the data logger and carries out the requisite determinations and calculations, and the reference numeral 9 designates a presentation unit, suitably a small printer. All of the apparatus can be integrated to form a unit capable of being carried in a simple, portable bag.

Thus, the aforesaid measuring values utilized by the computer 8 for determining the points K1, K2, and K3, are first collected in the data logger 7, see Figure 2. The line joining the points K1, and K2 thus represents compression of the totally gaseous refrigerant in the compressor to the pressure p_1 . The line joining the points K2 and K3 represents the phase conversion of the refrigerant in the condenser 2 from a fully gaseous state at point K2 to a completely liquid state at point K3, with the pressure held substantially constant. The vertical downwardly sloping line extending from point K3 represents a decrease in pressure, which is assumed here to take place at constant enthalpy. Vapourization then takes place up to the point K1 on the diagram, essentially at constant pressure. The exact position of the corner point of the characteristic between K3 and K1 is not so critical in the case of heat pumps. This point is highly significant, however, in the case of cold generating processes, and can be calculated with the aid of the computer.

Subsequent to establishing the key points K1, K2 and K3, the computer can determine the points K4, K5 and K6 on the basis of these key points and on the basis of the information stored in the computer with regard to the refrigerant diagram, particularly the upper and lower curve limits of the vapour pressure curve. The point K4 is therewith determined as the intersection point between the pressure p_2 at K1 and the upper limit curve of the vapour pressure curve, which provides the vapourization

or evaporation temperature, which is an important basic data, and a measurement of the overheating at point K1. It is necessary to overheat to a certain degree, so as to ensure that only vapour will enter the compressor, since liquid droplets are liable to result in damage. The point K5 represents the point of intersection between the pressure p_1 at point K2 and the upper limit curve, and indicates the condensing temperature, which is another piece of basic information. The point K6 at the intersection between the pressure p_1 and the lower limit curve can be used to determine the undercooling at the point K3.

The basic determination of vapourization temperature, condensing temperature, overheating and undercooling provide valuable information for a service technician when tuning or balancing the system. In order to establish the performance of the system, however, it is also necessary to determine the coefficient of process performance and also the heating power produced, or heat emission. To this end the ideal coefficient of performance C_I of the process is calculated by determining the enthalpies H_1 , H_2 and H_3 for the three key points K1, K2 and K3 respectively by the computer with the aid of the stored information defining the refrigerant diagram. C_I is then calculated as $C_I = \frac{H_2 - H_3}{H_2 - H_1}$. When determining the

enthalpies at said points mathematically, the computer first determines the voluminals at these points, and also at the points K4, K5 and K6. The enthalpies can then be readily computed by the computer. These voluminal determinations are also effected on the basis of the stored refrigerant diagram information.

The aforementioned ideal coefficient of performance C_I is never reached, due inter alia to thermal losses in the compressor which must be determined in order to calculate the true or prevailing coefficient of performance

C_V of the process. The thermal efficiency η_T of the compressor can be determined empirically or can be calculated, whereafter a correction herefor is made so that

$$C_V = \frac{H_2 - H_3}{H_2 - H_1} \eta_T.$$

Although it is possible to calculate the thermal efficiency of the compressor, it has been found very surprisingly that a very high degree of accuracy can be reached when using an empirical value for η_T of between 90 - 95% in the case of conventional systems.

This method of utilizing the thermal efficiency of the compressor enables the true coefficient of performance of the process to be calculated extremely accurately by means of the aforescribed novel method, which has been received with great surprise by those skilled in this art. This calculation is based on the realization that all energy supplied to the compressor is utilized by the process with the exception of the thermal energy lost in the compressor.

The coefficient of process performance calculated above can be utilized to determine the heating power generated by the process in a novel and simple manner, by multiplying said coefficient with the value of the electrical energy supplied to the compressor, this energy being detected by means of the data logger 7.

In order to determine the condition of the compressor, which is highly significant to the performance of the heat pump, the enthalpy H_7 is determined at the point K7 which represents the point of intersection between the isentrope passing through the point K1 and the pressure at the point K2. A quality factor Q_K for the compressor can then be calculated as $\frac{H_7 - H_1}{H_2 - H_1}$.

In addition to earlier mentioned basic parameters for the refrigerating process a highly representative foundation for determining the efficiency of the refrigerating

process and its performance, and for optimizing the same, can be obtained by complementing with a coefficient of performance, heating power and compressor function, since the aforesaid values can be determined at short intervals in conjunction with tuning or testing the system.

In order to provide a complete definition of the operating condition of the system apparatus, the temperatures of the incoming cold carrier and the departing heat carrier can also be collected with the aid of the data logger and presented together with the aforementioned parameters. As will be understood by those skilled in this art, the Carnot efficiency, mass flow, and volume flow can also be determined without the aid of flow meters.

The aforescribed method is based on the assumption that the refrigerant will actually work as anticipated in theory, and that the system will only give off energy to the surroundings via heat emitting components, in this case the condenser, and as a result of the thermal losses in the compressor. The influence of the pressure drop across the condenser and evaporator has also been assumed to be negligible. The described process also represents a single stage process without internal heat exchange. It will be understood, however, that the principles also apply in the case of multi-stage systems. In the case of more complicated systems, additional pressure and temperature measurements can be made to the extent necessary to define the process. Thus, one important advantage afforded by the method according to the invention is that unstable processes can also be measured with a high degree of accuracy, as can also changes in the course taken by the process, and that the method enables adjustments to be made to the process as measurements are being taken.

This enables the method to be applied when wishing to control a refrigerating process automatically, in which

case the expansion device suitably includes a control mechanism optionally of conventional kind. This mechanism is regulated in accordance with the invention on the basis of the coefficient of performance and/or the heating power calculated in accordance with said method, depending upon whether one is primarily interested in an optimal function of the process or in obtaining the highest possible heat emission. When applied in this way the method will thus enable the whole process to be controlled very accurately, since the result of each adjustment to the system can be detected immediately and compared with stored set point values or limit values. The actual control function can be carried out in a known manner and will not be described in detail here.

Thus, the principle features of the invention can be utilized to provide a novel, effective and highly accurate control apparatus for use with refrigerating processes. The particular novelty of this apparatus is that it includes a data logger and a computer for collecting and processing the measuring values simultaneously, in accordance with the foregoing, said computer being programmed with information defining the refrigerant diagram for the refrigerant used. The computer calculates, in the aforescribed manner, the true coefficient of performance C_v and/or the generated heating power, heat emission, which values can be used for influencing the process control means, suitably arranged in the expansion device, in a manner known per se.

CLAIMS

1. A method for determining the values of such refrigerating process parameters as those by means of which the efficiency of the refrigerating process can be established, said process being carried out with the aid of a refrigerant which is circulated in a closed circuit which incorporates a compressor, a condenser, an expansion device, and an evaporator, characterized in that mutually corresponding measuring values of the pressure and temperature of the refrigerant upstream and downstream of the compressor and downstream of the condenser are collected with the aid of a computer, the pressure of the medium downstream of the condenser being assumed to be equal to that downstream of the compressor; in that these values are utilized to determine three key points, K1, K2 and K3, in the refrigerant diagram of the refrigerant used with the aid of information defining said refrigerant diagram stored in the computer; in that the parameters required for assessing the efficiency of the refrigerating process, such as vapourization temperature, condensing temperature, overheating, undercooling and the ideal coefficient of performance of the process, are determined on the basis of said key points and with the aid of the information defining said refrigerant diagram stored in the computer; and in that the true or prevailing coefficient of performance of the process is calculated as the product of the ideal coefficient of performance and a correction factor which, inter alia, compensates for losses in the process.
2. A method according to Claim 1, characterized in that the correction factor comprises a calculated value or an empirical value of the thermal efficiency η_T of the compressor.
3. A method according to Claim 2, characterized in that the empirical value of η_T in the case of conventional compressors comprises a value between 90 - 97%.

4. A method according to any of Claims 1 - 3, characterized in that the value of the drive energy supplied to the compressor is also entered into the computer; and in that the heating power generated by the process is calculated as the product of said drive energy and the true coefficient of performance.

5. A method according to any of Claims 1 - 4, characterized in that the enthalpy H7 is determined for a point K7 on said diagram representative of the point of intersection between the isentrope through the point K1 and the pressure at point K2; and in that a quality factor Q_K for the compressor is calculated as $Q_K = \frac{H7 - H1}{H2 - H1}$.

6. The use of a method according to any of Claims 1 - 5 for automatically controlling a refrigerating process, characterized in that an adjustable expansion device for controlling the process is regulated, inter alia, on the basis of the true coefficient of performance and/or the generated heating power.

7. Control apparatus for a refrigerating process carried out with the aid of a refrigerant which is caused to circulate in a closed circuit incorporating a compressor, a condenser, an expansion device and an evaporator, the expansion device being provided with automatically actuable regulating means, characterized in that said device includes means for calculating the true coefficient of performance of the process in accordance with any of Claims 1 - 3 and/or the heating power generated by the process in accordance with Claim 4; and in that the regulating means are actuated in dependence on said true coefficient of performance and/or said generated heating power.

FIG. 1

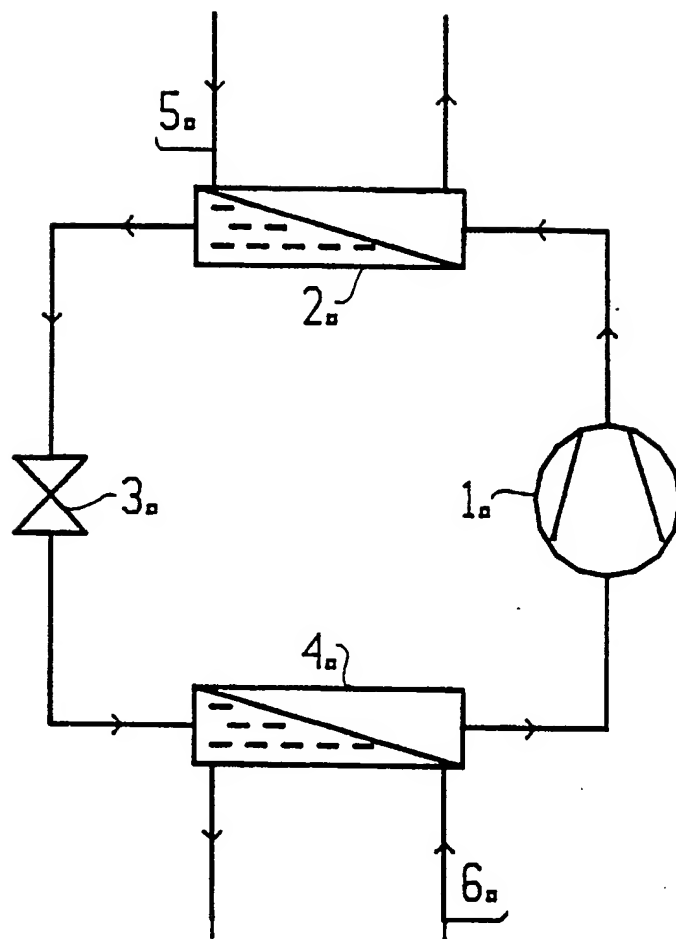


Fig. 2

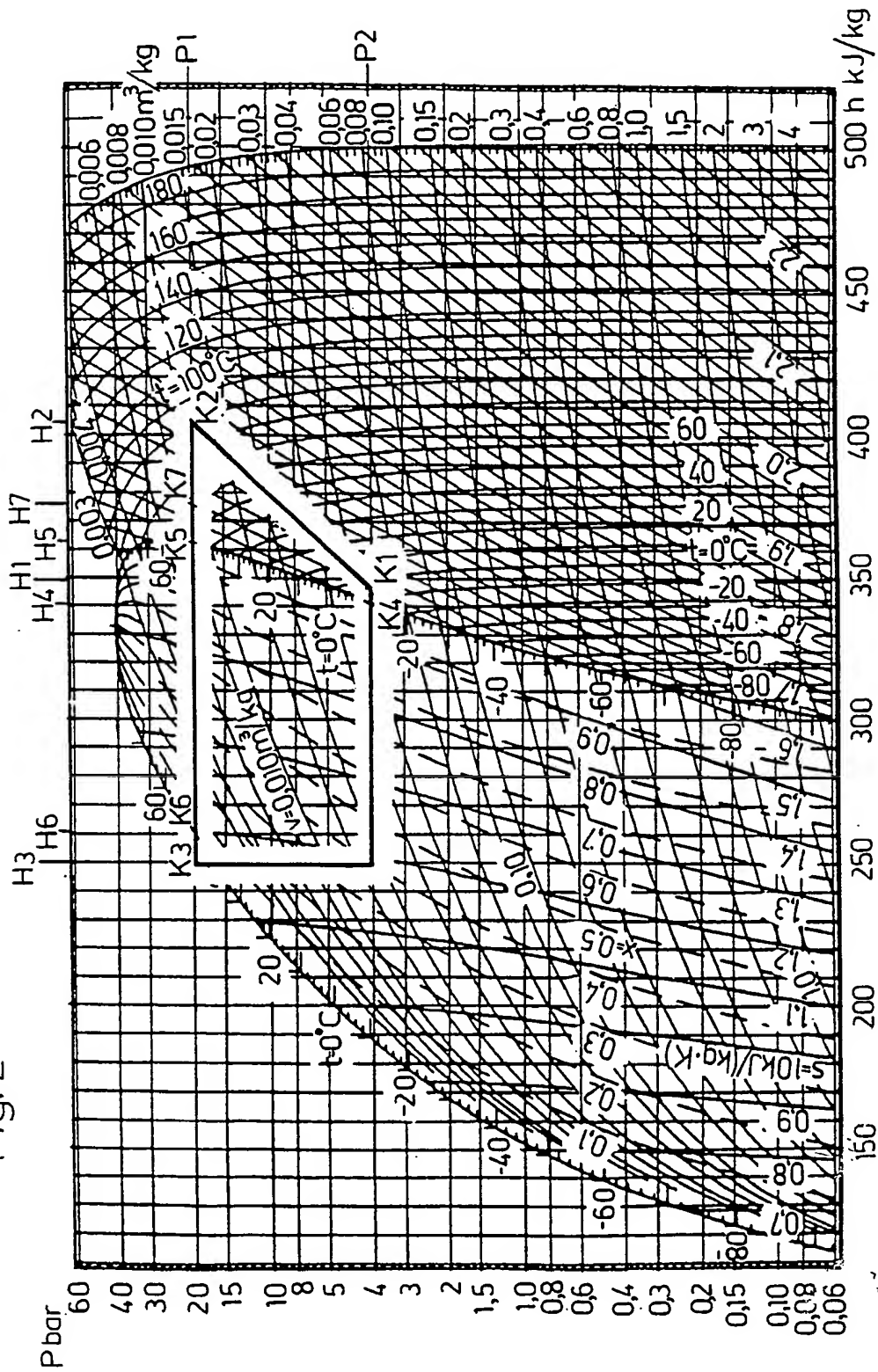
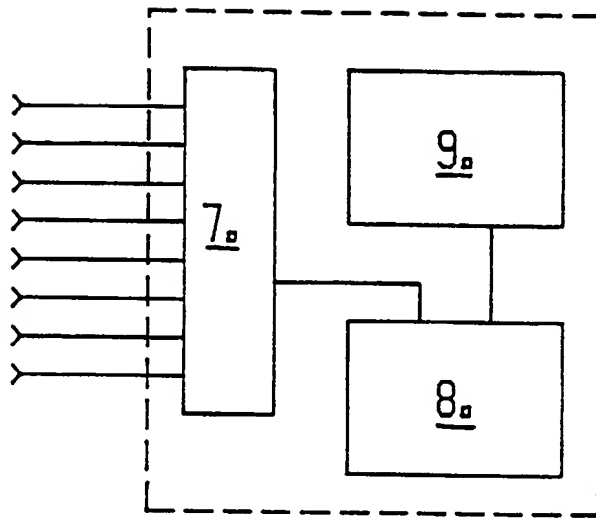


FIG. 3



INTERNATIONAL SEARCH REPORT

International Application No PCT/SE87/00087

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) *		
According to International Patent Classification (IPC) or to both National Classification and IPC ⁴		
F 25 B 49/00		
II. FIELDS SEARCHED		
Minimum Documentation Searched ⁷		
Classification System	Classification Symbols	
IPC 4 US C1	F 25 B 49/00 62: 125-127, 129	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁸		
SE, NO, DK, FI classes as above		
III. DOCUMENTS CONSIDERED TO BE RELEVANT ⁹		
Category ⁹	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³
Y	US, A, 4 325 223 (R J CANTLEY) 20 April 1982 & WO, 82/03269 EP, 0073812 AU, 82742/82 US, 4439997 CA, 1177559	1-7
Y	US, A, 3 577 743 (J N LONG) 4 May 1971	6-7
Y	DE, A1, 2 638 861 (ROBERT BOSCH GmbH) 9 March 1978	4
Y	Kylteknikern by Mats Bäckström (Almqvist & Wiksells boktryckeri AB, Uppsala 1970) Third edition: 6.63 - 6.76	1-5
Y	Kylteknik, Allmän kurs by Bo Pierre (Institutionen för Mekanisk värmeteori och kylteknik, Royal Institute of Technology Stockholm), 1972: Chapter II	1-5
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>* Special categories of cited documents: ¹⁰</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"Δ" document member of the same patent family</p> </div> </div>		
IV. CERTIFICATION		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
1987-05-05	1987 -05- 0 6	
International Searching Authority	Signature of Authorized Officer	
Swedish Patent Office	Magnus Thorén	